Description and Preparation Instructions for Joint DFG-FAPESP Research Grant Proposals

This document describes the instructions for joint DFG-FAPESP Research Grant Proposals under the terms of the Cooperation Agreement between both institutions.

1. Aim of the Programme

DFG-FAPESP Research Grant Proposals shall include researchers from Universities and Research Institutions in Germany and the State of São Paulo in Brazil, to develop collaborative basic, curiosity driven research projects.

2. Research Areas

Proposals may be submitted in all fields of research, including social sciences and humanities.

3. Time of Submission

Proposals can be submitted at any time, without submission deadlines (standing open procedure – SOP).

4. Duration of Projects

Researchers may apply for projects with a duration of up to three years.

5. Research Proposals and Submission

The applicants from Germany and in São Paulo should prepare their respective proposal according to DFG and FAPESP's rules, respectively. In addition, the following points should be noted for applications within DFG-FAPESP Research Grant Proposals: The proposals on each side should:

- Have at least one Principal Investigator in São Paulo and another in Germany. These will be the central contacts for the project management in FAPESP and DFG, respectively. According to each agency's guidelines, there can be additional PI's and/or associated researchers involved in the project.
- Show how the German and Brazilian partners complement each other.
- Be consistent on both sides with regard to the core scientific content and the involved applicants.
- Be presented in the English language.
- Be jointly prepared and be **submitted to DFG and FAPESP electronically and simultaneously**, according to each funding organisation's requested format.

German side:

- The proposals must be submitted by the main applicant to the DFG according to the Guidelines of the Research Grants Programme and the Proposal Preparation Instructions (DFG forms 50.01 and 54.01).
- The proposal must be submitted via the elan Portal. Please note the following steps:
  - after the log-in, please select under the tab Proposal Submission > New Project > Individual Grants Programme > Proposal for a Research Grant.
  - after one click on "start online-form" you will find a general description on Research Grants. The button "Continue" takes you to the page where to select the relevant call: BRAZIL FAPESP (20XX) - Joint Research Grants.
- Applicants are required to comment on the added value of the international collaboration with their partners from São Paulo.

- While the budget figures to be submitted via the elan system only have to be provided for funds requested from the DFG, the proposal itself should also contain a budget overview for the Brazilian project part, in order to provide appropriate information to the reviewers.
- Applicants must fill in their curriculum data in the DFG CV template that can be found here. Attached to the proposal submitted by the Germany-based applicant(s) to the DFG, an academic curriculum vitae for all PI´s from the Brazilian side should be submitted in English to complete the reviewers' information. The format of the CVs for the PIs of FAPESP need not comply with DFG´s CV template.

Brazilian side:
Applicants from the State of São Paulo should submit the joint proposal as required by FAPESP through the online SAGe platform and must follow the guidelines available here.

- Proposals should follow the eligibility and budgetary conditions of the Regular Research Grant funding modality unless otherwise stated in the guidelines of this cooperation.
- Only the Principal Investigator of the corresponding DFG proposal should be included as a team member in the FAPESP proposal. For that, he or she must be registered in the SAGe System and confirm their participation in the proposal following the guidelines here.

6. Funding

Funding will be granted from the regular budgets of DFG and FAPESP. The respective funding procedures and eligible costs are subject to DFG and FAPESP's individual regulations.
Neither side will provide additional funds for the approved projects.

In case of approval, each funding agency will support expenses for their respective project parts as follows:

- at DFG, only costs for the German share of the project are eligible.
- at FAPESP, only costs for the Brazilian share of the project are eligible.

For the Brazilian part of the project at **FAPESP**, the same budgetary conditions of the Regular Research Grant modality apply, with the addition of one postdoctoral fellowship.

On the German side, **DFG** applies the same rules of funding which are valid for the regular Research Grants Programme. A general ceiling of funding per project is not defined.

7. Evaluation and Decision Process

The proposals will be evaluated independently by FAPESP and DFG according to their usual internal evaluation procedures and criteria. Final decisions on the proposals will be agreed upon between DFG and FAPESP. Proposals will be funded only if they are approved on both sides. Unilateral funding of only one part of a project is not possible.
The results on proposals will be communicated simultaneously by DFG and FAPESP to the PIs, according to each agency's regulations.

8. Intellectual Property Rights

DFG and FAPESP encourage scientists and scientific institutions receiving funds from the Parties to enter into agreements to ensure the effective protection and adequate distribution of intellectual property and the rights of data use resulting from jointly funded DFG-FAPESP Research Grant Projects.

9. Contact for further information

At DFG:

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